



**INTEREST RATE DERIVATIVES BROKING
APPLICATION FORM FOR ACCREDITATION IN THE OTC (VOICE) MARKET**

1. Name of the Brokerage Firm:
2. Dealing Office:
3. Registered Office:
4. Names of all the Board of Directors:

Sr. No.	Name of Director (% of Share Holding)	Sr. No.	Name of Director	Sr. No.	Name of Director
1		5		9	
2		6		10	
3		7		11	
4		8		12	

5. Senior Management and Dealers in the debt market:

Sr. no.	Name of Official	Qualification	Experience (years)	Designation
1				
2				
3				
4				
5				

6. Authorization of the Board to seek accreditation of FIMMDA to Enter the Interest Rate Derivative Broking Market and Name/s of the official to accept Code of Conduct and the Terms & Conditions:

(Please attach a certified True Copy of the Resolution)

FY 2019	FY 2018	FY 2017
(Provisional)	(Audited)	(Audited)

7. Tangible Net-worth of the Firm (Rs crore) :

8. Description of Fixed Deposit of Rs 15 lakh placed with a bank & Lien marked in favour of FIMMDA:

Fixed Deposit Receipt bearing no. _____ dated _____ for Rs. 15 lakh has been placed with _____ and lien favouring FIMMDA marked thereon

(The original hard copy of the FDR to be submitted for verification and return)

9. Description of one time processing fee of Rs. 1.00 lakh + GST @ 18% (Total: Rs 1,18,000/-):

a. Cheque/Pay Order no. _____ dated _____ drawn on _____ Bank for Rs. 1 lakh, or

b. NEFT/RTGS bearing UTR No. _____ dated _____ remitted through _____ Bank

c.

10. Audited Financial Statement submitted for the FY:2016, 2015, 2014
(Copies attached)

11. Name, address and Contact details of the Principal Banker:

12. Empanelment Details (Debt and Derivatives segment):

Sr. no.	Name of Bank	Address	Contact Details (Phone; E-Mail)	Segment	Turnover in last 2 years (Rs lakh)	

(Please attach a separate sheet if needed)

Seal of the Applicant Company

Signature:

Name:

Date: