



SBI DFHI Limited

एस बी आई डी एफ एच आई लिमिटेड

Annex – B

Notice for contesting election as a Director

Date : 29th August, 2022

To,
Fixed Income Money Market &
Derivatives Association of India,
2nd Floor, United India Bldg.,
Sir P.M. Road, Fort
Mumbai – 400 001.

Dear Sirs

Re : Notice for contesting election as a Director of Fixed Income Money Market & Derivatives Association (FIMMDA) in the ensuing 24th Annual General Meeting.

We, SBI DFHI LIMITED, a member of FIMMDA hereby give notice of our intention to propose the name of our authorised representative Shri. Dillip Kumar Mishra having DIN No. 09209415, as a Director of the Company at the ensuing 24th Annual General Meeting, and to move the following resolution as an ordinary resolution.

“RESOLVED THAT Shri Dillip Kumar Mishra [DIN No. 09209415], authorised representative of SBI DFHI Limited, be and is hereby appointed as a Director of the Company whose tenure of office shall be liable to Determination by the retirement of Directors by rotation.”

In this connection, we hereby attach the following documents as required :

- Form DIR-2 - Notice of Consent to act as Director, if elected.
- Form DIR-8 - Notice of confirmation to the effect that Shri. Dillip Kumar Mishra has not incurred any disqualification pursuant to Section 164 (2) of the Companies Act, 2013.
- Form MBP-1 – Notice of Interest pursuant to Section 184(1) of Companies Act, 2013
- Annexure I - Information containing particulars of Director seeking appointment requiring disclosure in terms of Secretarial Standards on General Meeting issued by the Institute of Company Secretaries of India (SS-2)

Yours faithfully,
For SBI DFHI LIMITED

Managing Director & CEO
Encls : a/a



sbidfhi.co.in

+91 22 6636 4697

+91 22 4002 2917

admin@sbidfhi.com

नोंदणीकृत आणि मुख्य कार्यालय:

५ वा मजला, मिस्त्री भवन,
१२२, दिनशा वाच्छा रोड,
चर्चगेट, मुंबई - ४०० ०२०
महाराष्ट्र, भारत

पंजिकृत एवं मुख्य कार्यालय:

५ वी मंजिल, मिस्त्री भवन,
१२२, दिनशा वाच्छा मार्ग
चर्चगेट, मुंबई - ४०० ०२०
महाराष्ट्र, भारत

Regd. and Head Office :

5th Floor, Mistry Bhavan,
122, Dinshaw Vaccha Road,
Churchgate, Mumbai - 400 020.
Maharashtra, India

Form No. DIR-2

Consent to act as a director of a company

[Pursuant to section 152(5) of the Companies Act, 2013 and rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014]

To,
FIXED INCOME MONEY MARKET &
DERIVATIVES ASSOCIATION OF INDIA
2nd Floor, United India Insurance Bldg.,
P.M. Road, Fort,
Mumbai – 400 001

Subject: Consent to act as a director

I, Shri. Dillip Kumar Mishra, hereby give my consent to act as director of Fixed Income Money Market & Derivatives Association of India, pursuant to sub-section (5) of section 152 of the Companies Act, 2013 and certify that I am not disqualified to become a director under the Companies Act, 2013.

1	Director Identification Number (DIN)	:	09209415
2	Name (in full)	:	Shri. Dillip Kumar Mishra
3	Father's Name (in full)	:	Shri. Kirtan Bihari Mishra
4	Address	:	A/602, MANISH, SBI Officers Quarters, Evershine Nagar, Malad(West), Mumbai-400064
5	E-mail id	:	evp@sbidfhi.com
6	Mobile no.	:	9178181997
7	Income-tax Pan	:	ACAPM9814C
8	Occupation	:	Service
9	Date of birth	:	04/04/1969
10	Nationality	:	Indian

9/

11	No. of companies in which I am already a Director and out such companies the names of the companies in which I am a Managing Director, Chief Executive Officer, whole time Director, Secretary, Chief Financial Officer, Manager.
	NIL
12	Particular of memberships No. and Certificates of practice No. if the applicant is a member of any professional Institute state NIL if none.
	NIL

Declaration

I declare that I have not been convicted of any offence in connection with the promotion, formation or management of any company or LLP and have not been found guilty of any fraud or misfeasance or of any breach of duty to any company under this Act or any previous company law in the last five years. I further declare that if appointed my total Directorship in all the companies shall not exceed the prescribed number of companies in which a person can be appointed as a Director.

Signature : 
(Dillip Kumar Mishra)

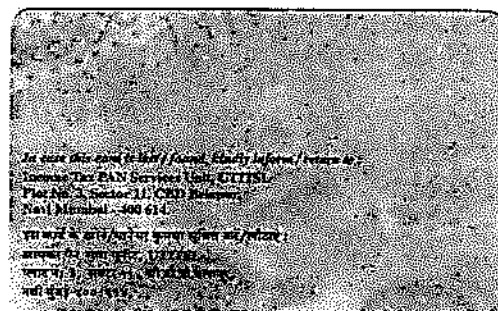
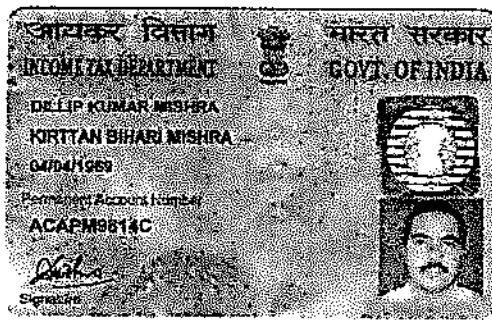
Designation:

Date: 29/08/2022

Place: Mumbai

Attachments:

1. Proof of identity;
2. Proof of residence;



Self 24/08/2017
Kishore



ଭାରତ ସରକାର

Unique Identification Authority of India

Government of India

ନାମାଙ୍କନ ସ୍ଥଳ / Enrollment No.: 1092/16033/35266

To
ଦିଲ୍ଲିପ କୁମାର ମିଶ୍ର
Dilip Kumar Mishra
TUKUNA
Tukuna
Ghesipura Kendujhar
Odisha 758020
8763880876

307744931



MA077449317FT



ଆପଣଙ୍କ ଆଧାର ସଂଖ୍ୟା / Your Aadhaar No.:

7260 0480 2022

ଆଧାର - ସାଧାରଣ ଲୋକର ଅଧିକାର



ଭାରତ ସରକାର

Government of India



ଦିଲ୍ଲିପ କୁମାର ମିଶ୍ର
Dilip Kumar Mishra
ପିତା : କିରତନ ବିହାରୀ ମିଶ୍ର
Father: KIRTAN BIHARI MISHRA
ଜନ୍ମ ତାରିଖ / DOB: 04/04/1968
ଲିଙ୍ଗ / Male



7260 0480 2022

ଆଧାର - ସାଧାରଣ ଲୋକର ଅଧିକାର

Self attested
[Signature]

MONTHLY STATEMENT



Mr. Dilip kumar Mishra
Flat No A 602 Mahish Sbi Officer Evershine
Nagar. Malad Nr Sbi Branch MUMBAI SUBURBAN
Mumbai, Maharashtra, 400064
Email Address: dillipseema@rediffmail.com
Phone Number: 8451021997

Your Plan: FAMILY INFINITY PLAN 999- COIP
Number of Connections:5
Statement Date:18 Apr 2022
Statement Period:17 Mar 2022-16 Apr 2022

Amount Payable:
₹1178.82
Due Date:
28 Apr 2022

Previous Dues	Payments	Credit/Adjustment	Charges for this Month	Amount Payable	Amount after due date(28Apr)
₹1179.64	- ₹1179.64	- ₹0.00	+ ₹1178.82	= ₹1178.82	₹1296.82

This Month's Summary

Services	No. of Connections	Plan/Pack Charges	Other Charges	Total
Mobile 8451021997	5	₹999.0	₹0.0	₹999.0
Taxes (GST)	-	-	-	₹179.82
Previous Dues	-	-	-	₹1179.64
Payments ¹	-	-	-	₹1179.64
Total (Incl. Taxes)				₹1178.82
Total : One Thousand One Hundred Seventy Eight Rupees and Eighty Two Paise Only				

How To Pay ?

Online:
Airtel Thanks App or
www.airtel.in/pay

Airtel Stores:
Store Locator(www.airtel.in/store)

UPI:
Scan the QR Code to pay your bill instantly
Send Payment to 1-3201002380958.POST@mairtel

Send payment to
1-3201002380958.POST@mairtel



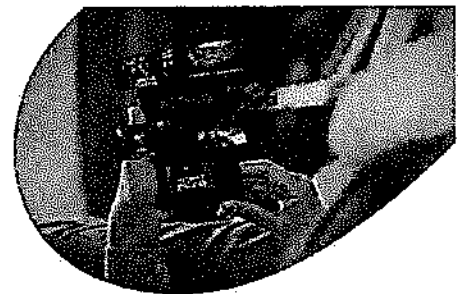
Scan & pay via any UPI Apps
Powered by Airtel



Introducing Netflix Postpaid Plan

Get Netflix subscription at no extra cost to watch best of global and local content.

Explore Plans



YOUR PAYMENT OPTIONS

Relationship No. 1-3201002380958

Bill No: 6M23271000866063

Amount Due : 1178.82

LoB : Mobility

cheque/DD

In favour of "Airtel Relationship no. 1-3201002380958"

cheque/DD No. _____ Dated _____ Amount _____ Bank _____

FORM 'DIR-8'
Intimation by Director
[Pursuant to Section 164(2) and rule 14(1) of Companies (Appointment and
Qualification of Directors) Rules, 2014]

Registration No. of Company : U67120MH1998GAP114753

Nominal Capital : Not Applicable

Paid-up Capital : Not Applicable

Name of Company : Fixed Income Money Market And Derivatives Association of India

Address of its Registered Office : 2nd Floor, United India Insurance Bldg.,
P.M. Road, Fort, Mumbai – 400 001.

To
The Board of Directors of
Fixed Income Money Market And Derivatives Association of India.

I, Shri. Dillip Kumar Mishra, son of Shri. Kirttan Bihari Mishra resident of A/602, MANISH, SBI
Officers Quarters, Evershine Nagar, Malad (West), Mumbai-400064, Director in the company
hereby give notice that I am/was a director in the following companies during the last three
years:-

S. No.	Name of the Company	Date of Appointment	Date of Cessation
1	Fixed Income Money Market & Derivatives Association of India	23/08/2021	29/11/2021

I further confirm that I have not incurred disqualification under section 164(2) of the Companies
Act, 2013 in any of the above companies, in the previous financial year, and that I, at present,
stand free from any disqualification from being a director.

OR

I further confirm that I have incurred disqualifications under section 164(2) of the Companies
Act, 2013 in the following company (s) in the previous financial year, and that I, at present stand
disqualification from being a director.

S. No.	Name of the Company	Date of Appointment	Date of Cessation
	NOT APPLICABLE		

Place : Mumbai
Dated : 29th August, 2022

Signature 
(Dillip Kumar Mishra)

FORM MBP – 1

Notice of interest by director

[Pursuant to section 184(1) and rule 9(1)]

To
The Board of Directors,
FIXED INCOME MONEY MARKET &
DERIVATIVES ASSOCIATION OF INDIA
2nd Floor, United India Insurance Bldg.,
P.M. Road, Fort,
Mumbai – 400 001

Dear Sir(s)

I, Shri Dillip Kumar Mishra, son of Shri. Kirtan Bihari Mishra, resident of A/602, MANISH, SBI Officers Quarters, Evershine Nagar, Malad (West), Mumbai – 400064 and authorised representative of SBI DFHI Limited, being a director in the company hereby give notice of my interest & concern in the following company or companies, bodies corporate, firms or other association of individuals:-

Sr. No.	Name of the Companies / bodies corporate/ firms/ association of individuals	Nature of interest or concern Change in interest or concern	Shareholding	Date on which interest or concern arose / Changed
1	SBI DFHI Limited	EVP & CFO	Nil	14.06.2021

Signature 
Name : Dillip Kumar Mishra

Place : Mumbai
Date : 29th August, 2022

Annexure to Form MBP-1

* Nature of interest or concern / Change in interest or concern includes Director/ Shareholders/ Member/Partner/ Owner in Companies / Bodies Corporate / Firms / Association of Individuals.

** Shareholding is to be mentioned with respect to No. of shares and Percentage of holding in Companies/ Bodies Corporate/Firms/Association of Individuals.

1. Name of the Body Corporate (other than Companies under Companies Act) in which I am a Director and holding along with other Directors more than 2% of the paid up capital:

NIL

2. Name of the Body Corporate in which I am promoter, manager or chief executive officer:

NIL

3. Name of the Public Companies in which I am a Director and holds along with my relatives more than 2% of the paid up capital:

NIL

4. Names of the Private Companies in which my relative is a member or director.

NIL

5. Name of the firms in which my relative is a partner of the firm.

NIL

6. Names of Societies or other Association of Persons in which I am holding office of member of Governing Body :

✓

//2//

7. Chairmanship/Membership of Committees in Companies :

NIL

8. Names of the Body Corporate of whose Board of Directors, Managing Director or Manager us accustomed to act in accordance with the advice, directions or instructions of a Director :

NIL

Signature


Name : Dillip Kumar Mishra

Place : Mumbai

Date : 29th August, 2022

List of Relatives

(In terms of clause (77) of Section 2 of the Companies Act, 2013)

1	Spouse	Smt. Pragyan Rani Dash
2	Father	Shri. Kirttan Bihari Mishra
3	Mother (including step-mother)	Smt. Snehalata Mishra
4	Son (including step-son)	Master Omm Mishra & Master Shreetam Mishra
5	Son's wife	N.A.
6	Daughter (including step-daughter)	N.A.
7	Daughter's husband	N.A.
8	Brother (including step-brother)	N.A.
9	Sister (including step-sister)	Smt. Sasmita Nanda ✓ Smt. Sandhya Rani Mishra ✓ Smt. Snigdha Rani Panda ✓
10	Any Co-member / Karta of HUF in which I am a member	N.A.

Signature


Name : Dillip Kumar Mishra

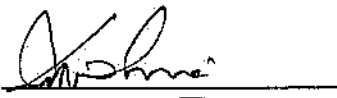
Place : Mumbai

Date : 29th August, 2022

General Information:

1.	Permanent Residential Address	:	Village/P.O. Tukuna, Via-Salapada, P.S.-Ghasipura, Dist.-Keonjhar, State-Odisha Pin-758020
2.	Present Residential Address	:	A/602, MANISH, SBI Officers' Quarters, Evershine Nagar, Malad(W), Mumbai-400064
3.	Permanent Account No. (PAN)	:	ACAPM9814C
4.	Aadhaar Card No.	:	726004802022
5.	Date of Birth	:	04/04/1969
6.	Director Identification No. (DIN)	:	09209415
7.	Education Qualification	:	M.Com., M.B.A.
8.	Official Address	:	SBI DFHI Ltd., 5th floor, Mistry Bhavan, 122, Dinshaw Vaccha Road, Churchgate, Mumbai-400020.
9.	Email Address	:	evp@sbidfhi.com
10.	Mobile Number	:	9178181997
11.	Telephone Number	:	022-22625969

Signature



Name: Dillip Kumar Mishra

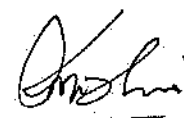
Place: Mumbai

Date: 29th August, 2022

Annexure I

Information of Shri Dillip Kumar Mishra, authorised representative of SBI DFHI Limited seeking appointment at the 24th Annual General Meeting FIMMDA in accordance with the Companies Act, 2013 and Secretarial Standards, as on the date of Notice.

1.Name of Director/particulars	Shri. Dillip Kumar Mishra
Director Identification No.	09209415
Date of Birth (Age in years)	04/04/1969 (53 years)
Date of Appointment	In the 24 th AGM
Expertise in specific functional areas	International Banking, Investment Banking, Treasury Management, General Banking
Qualifications	M.Com, M.B.A.
Experience	As per attached profile
Terms & conditions of Appointment/reappointment	To be elected as a Director on the Board of Directors of the Company as Nominee of SBI DFHI Limited in the 24 th AGM of the Company, subject to retirement by rotation.
Remuneration sought to be paid/last drawn	No remuneration paid or payable for attending the meetings of the Board or its Committees or otherwise.
No. of meetings of the Board Attended during the year	Not Applicable
Shareholding held in the Company	Not Applicable
Relationship with directors, Managers and Key Managerial Personnel	Unrelated
Directorships held in other companies in India	Nil
Membership/Chairmanship of committees in other companies in India	Nil



DILLIP KUMAR MISHRA
EXECUTIVE VICE PRESIDENT & CFO

5th Floor, Mistry Bhavan,
122, Dinshaw Vachha Road,
Churchgate, MUMBAI
400020

Tel office-022-2269334411,

Mobile-9178181997

Summary: Having more than 28 years of banking experience in India and abroad. Work in the areas of International Banking, Investment Banking, Treasury Management, General Banking and Rural Banking. Working in State Bank of India since 1st September 1995 and joined the Bank as Probationary Officer.

Skills Summary

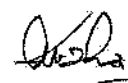
Executive Vice President & CFO, SBI DFHI, Mumbai and responsible for the overall business growth, profit, administration, audit etc. of the company. Interaction with RBI, SEBI, FIMMDA and PDI in the day to day functioning of the company (since 14th June 2021).

DGM (Equity & Commodities Market), State Bank of India, Global Markets Mumbai and responsible for managing the Equity and Mutual fund portfolio of the Bank. Interaction with Top Management of the Bank, Treasury Head, Market Participants, MF houses, regulators etc. (May 2019 to June 2021)

Chief Dealer (Non-SLR), State Bank of India, Global Markets Mumbai and responsible for managing the Non-SLR portfolio mainly NCDs and CPs of the Bank and implementing the trading strategies of the Bank. Interaction with Top Management of the Bank, Treasury Head, Market Participants, Regulators, Industry associations, Brokerage houses etc. (January 2018 to May 2019)

Senior Vice President (Investments), State Bank of India, Hong Kong Branch and responsible for managing the investment portfolio of the Branch, maintenance of LCR ratio, trading income. Interaction with Top Management of International Banking Group officials of the bank, Market participants, regulators etc. (September 2013 to November 2017)

Chief Manager (Forex Back office) State Bank of India, Global Markets unit, Kolkata and was overall in-charge of Forex Back office of the Bank. Interaction with Top Management of the Bank, Market participants, Treasury Front office, Correspondent banks, Brokerage houses etc. (October 2009 to September 2013)



Worked in various branches/administrative office of State Bank of India in the states of Madhya Pradesh and Chhatisgarh in the capacities of Branch Manager, support offices, Sanction Manager etc. (Sept 1995 to October 2009)

Educational Qualifications

Master of Commerce (M.COM) with Accountancy as major from Panjab University, Chandigarh (1992)

Master of Business Administration (MBA) with Marketing and Finance as majors from Utkal University, Bhubaneswar (1994)

Diploma in statistic's from Panjab University, Chandigarh (1992)

CAIIB- Part I & II

CFP CM

ACAMS

Certified Treasury Dealers by IIBF

Certificate Examination on Currency Derivatives (NISM)

Certificate Examination on Equity Derivatives (NISM)

Certificate Examination on Interest Rate Derivatives (NISM)

Certificate Examination on Securities Operation & Risk Management (NISM)

FIMMDA NSE Debt (basic) Module

Certificate in AML & KYC

Certificate Programme on Risk Management

Certificate course on Prevention of Cyber-crime & fraud Management

