

STATEMENT OF COMMITMENT

ADHERENCE TO FIMMDA CODE OF FAIR PRACTICES - MARCH 2018

Ref. No.03/2018

Date 25/07/2018

To,
The Chief Executive Officer,
Fixed income Money market & Derivatives association of India (FIMMDA),
2nd Flr, United India Bldg,
Sir P.M.Road, Fort,
Mumbai 400 001.

We, North East Small Finance Bank, Guwahati have reviewed the content of the **FIMMDA Code of Fair Practices for Debt Markets - March 2018**. We acknowledge that Principles, Rules, Dealing Procedures and Illustrations in the above Code read with **FIMMDA Handbook of Market Practices** represent a set of principles generally recognised as fair practices in the Fixed Income securities markets, Money markets and Derivatives markets. We confirm that the institution is a Market Participant as defined by the **FIMMDA Code of Fair Practices for Debt Markets - March 2018**, and is committed to conducting its Market activities in a manner consistent with the principles/procedures of the **FIMMDA Code of Fair Practices for Debt Markets - March 2018** and **FIMMDA Handbook of Market Practices** as amended from time to time.

We have taken appropriate steps to adhere to principles/procedures of the **FIMMDA Code of Fair Practices for Debt Markets - March 2018** and **FIMMDA Handbook of Market Practices**.

We the under mentioned signatories of this "Statement of Commitment" confirm that we have authority to sign this document for North East Small Finance Bank, Guwahati.

For North East Small Finance Bank Ltd.

1. Sign & Stamp

Name P C DEAN

Designation :-

Chief Treasury Officer

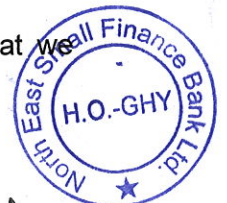

**Authorised Signatory
(Chief Treasury Officer)**

2. Sign & Stamp

Name Rupali Kalita

Designation:-

MD & CEO



For North East Small Finance Bank Ltd.


Managing Director & CEO